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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisional applications under

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Attorney Docket Number	18590-06192			
First Named Inventor	Dennis W. Vance	• (
Title	LIGHT TRANSMISSIVE FILTER HAVING ANISOTROPIC PROPERTIES AND METHOD OF FABRICATION	1		
Express Mail Label No.	EL566299629US			

	37 CFR 1.53(b))	Express Ma	ail Label No.	EL56629962	9US	
APP	LICATION ELEMENTS	A	CCOMPANY	NG APPLI	CATIC	N PARTS
1. X Fee Trans	mittal Form (in duplicate)	7.	Assignment Pa	apers (cover s	sheet &	document(s))
2. Applicant See 37 C	claims small entity status. FR 1.27	8. [Certified Copy is claime	•	cument	(S) (if foreign priority
3. Specificati	ion Total Pages 27	9. [Power of Attor	ney or Author	rization	of Agent
■ Descriptive	ement set forth below) e Title of the Invention	10. [37 CFR 3.73(t) Statement		
	erence(s) to Related Case(s) Regarding Fed sponsored R & D	11. [Preliminary An	nendment		
■ Backgroun	nd of the Invention mary of the Invention	12. [Information Dis	sclosure State		i
■ Brief Desc ■ Detailed D ■ Claim or C	•	13. [Request und 3)(i). Applican B/35 or its equ	t must a	ttach form
■ Abstract of	f the Disclosure	14.	Return Post	•		
4. Drawing(s) (35 U.S.C. Total Sheets 4	15.				
5. Oath or Declara	ution	16. [
a. New Dec		17.				
	Executed (original or copy)					
	om a prior application (37 CFR 1.63(d)) ation/divisional with Box 18 completed)					
i. DEL						
nam	ned statement attached deleting inventor(s) ned in the prior application, see 37 CFR 8(d)(2) and 1.33(b).					
6. Application	Data Sheet. See 37 CFR 1.76		ADDRESS	TO:		
			Commis	ent Applications on the state of the state o	itents	
Application Data Sheet					reliminar	y amendment or in an
	on Divisional Continuation-in-p	art (CIP)	of prior application			
Prior application information: Examiner: Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
	19. CORRESPO	ONDENCE	ADDRESS			
Customer Number and Bar Code Label 00758						
Name (Print/Type)	Albert C. Smith	·]	Registration No.	(Attorney/Agent)	20,355
Signature	alher C.	Son	with	Date	15	-131101

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PTO/SB/17 (10-01)(modified)
OMB 0651-0032

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0002/PTO(modified) U.S. Department of Commerce					Complete if Known			
Tandomark Office		ffice	Application Number		D	01		
Rev. 10/2001 Patent and Trademark Onk			1 thing Date		December 31, 2001 Dennis W. Vance			
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4. The Commis	sioner is he	reby authorized to:	3. ADDITION					
1. The Commissioner is hereby authorized to:		Large Entity	Small Entit		escription	Fee Due		
☐ Charge	the indicated for	ees to the below	Fee Code/Fee	Fee Code/Fe				
mentio	ned deposit acc	ount.	105/ \$130	205/ \$65		arge - late filing fee or oath		
Charge any additional fee required under 37		407/EB	227/ \$2 5	Surcha	arge-late provisional filing fee or cover shee	·		
		edit any over payments d deposit account. T	127/\$50			ing a request for reexamination		
to the	below mentione	d deposit accounts .	147/\$2,520	147/\$2,52				
☐ Applic	ant claims small	l entity status	115/\$110	215/\$55	Exten	sion for response within first month†		
See 3	7 CFR 1.27					sion for response within second month		
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Deposit Accoun	it Name: FEN	WICK & WEST LLP	118/\$1,440	218/\$72	o Exten	sion for response within fourth month	لـــِــا	
		orization is attached		228/\$98	n Exter	nsion for response within fifth month		
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1. FILING FE	E	Fee Fee		040/86	40 Litilit	y Issue Fee (Or Reissue)		
	Small Entity Fee Code/Fee	Description Due	142/\$1,280	242/\$6	40 Cunt	y 15520 1 55 (O. 15555)		
Code/Fee		_		040/03	an Desi	gn Issue Fee		
101/\$740	201/\$370	Utility Filing 370	143/\$460	243/ \$2				
106/\$330	206/\$165	Design Filing	122/\$130	122/\$1		tions to the Commissioner		
190,9330	200,410	126/\$180 126/\$18		80 Subi	mission of Information Disclosure Statemen	٠ ــــــا		
108/\$740	208/\$370	Reissue	470/6740	279/\$3	70 Req	uest for Continued Examination (RCE)		
		Provisional	179/\$740			ording each patent assignment per property	40	
114/\$160	214/\$80	Filing	581/\$40	581/\$	40 Rec (time	es number of properties)		
	AUDTOT(AL (1) (\$) 370.00						
	SUBTOTA	(1) (4) 37 0:00	146/\$740	246/\$	370 Filin (37	ng a submission after final rejection CFR 1.129(a))		
2. CLAIMS					•	each additional invention to be examined		
1	Small Entity		149/\$740	249/\$	370 For (37	CFR 1.129(b))		
Large Entity Fee Code/Fee	Fee Code/Fee	Fee Description	1		ν			
103/\$18	203/\$9	Claims in excess of 20		Other fee	(specify):			
1		Independent claims						
102/\$84	202/ \$42	in excess of 3		Other fee	e (specify):	SUPPOTAL (2) [7	\$) 40.00	
	004/0440	Multiple dependent claim				SUBTOTAL (3)	4) 40.00	
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			7 010 011			Complete (if applicable)		
SUBMITTED		Albert C Smith				Reg. Number 20	,355	
Typed or Prin	ited Name	Albert C. Smith					1 .	

alberc. Smith

Typed or Printed Name

Signature

12/31/01

Date

PTO/SB/35 (11-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Dennis W. Vance

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

Title	LIGHT TRANSMISSIVE FILTER HAVING ANISOTROPIC PROPERTIES AND METHOD OF FABRICATION

Atty Docket Number

First Named Inventor

18590-06192

I hereby certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12/3/10/

Signature

Albert C. Smith, Reg. No. 20,355

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.